

10-06-03

PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

50+

Application Number 10/633,473

Filing Date August 1, 2003

First Named Inventor Marc Brian WISNUDEL

Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number 132742

**ENCLOSURES (Check all that apply)**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                              |   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund                               |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm or Individual name Christopher L. Bernard, Esq. [48,234]  
511 West 8th Street #2B  
Charlotte, North Carolina 28202 USASignature *Christopher L. Bernard*

Date October 3, 2003

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

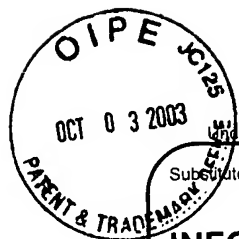
Typed or printed name Christopher L. Bernard, Esq. [48,234]

Signature *Christopher L. Bernard*

Date October 3, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/633,473
Filing Date	August 1, 2003
First Named Inventor	Marc Brian WISNUDEL
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	132742

Sheet	1	of	1
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	LAWANDY, "Absorbing Barrier Layer for Optical Media", US Provisional Patent Application, October 4, 2002.	
	2	UNKNOWN, "Directory Read Inhibitor for Optical Storage Media", US Utility Patent Application (Provisional 60/143,474), July 12, 1999.	
	3	UNKNOWN, "Limited Play Optical Devices With Interstitial Reactive Layer and Methods of Making Same", US Utility Patent Application (Provisional 60/295,903), June 5, 2001.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	)	Marc Brian WISNUDEL et al.
Application No.	)	10/633,473
Title	)	LIMITED PLAY DATA STORAGE MEDIA AND ASSOCIATED METHODS OF MANUFACTURE
Filed	)	August 1, 2003
Examiner	)	Unassigned
Art Unit	)	Unassigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450 USA

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR §§1.56 and 1.97-99, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of Examiner in charge of the above-referenced Application. Examiner is encouraged to contact Applicants should Examiner have any questions related to this Application.

Examiner is respectfully requested to initial in the space adjacent to the listing of each document on Form PTO/SB/08A, and to return a copy of the initialed Form PTO/SB/08A with the next communication to Applicants, to confirm that these documents have been considered by Examiner and made of record in this Application.

**Certification Under 37 CFR §1.97(b)(1):**

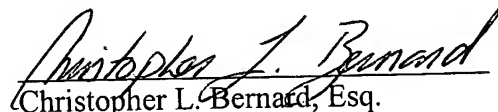
This statement is being filed within three (3) months of the filing of the Application. Accordingly, Applicants do not believe that any fee is due.

The above-referenced information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR §§1.104(a) and 1.106(b) concerning the USPTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

If Examiner has any questions or wishes to discuss this Application, Examiner is kindly encouraged to telephone undersigned Counsel.

Respectfully submitted,

Date: October 3, 2003

  
Christopher L. Bernard, Esq.  
Registration No.: 48,234

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